

THE GILES FINANCIAL GROUP PRIVACY POLICY NOTICE

At The Giles Financial Group maintaining our clients' trust and confidence is of paramount importance. We are committed to safeguarding your personal information and providing you with facts and options about how this information may be shared. Please read this notice to learn more about our privacy policies and the options available to you.

The Giles Financial Group Privacy Policy

This notice describes the privacy policies of The Giles Financial Group. We understand that you expect us to provide service to you in an accurate and efficient manner. To do so, we must collect and maintain certain personal information about you.

Other companies and businesses that provide services and/or products to the clients of The Giles Financial Group may have their own policies and you will receive their policies separately. These privacy policies apply to individuals who obtain or apply for a financial product or service for personal, family, or business purposes, or have done so in the past, from The Giles Financial Group.

We do not sell client information. We do not provide client information to persons or entities outside of The Giles Financial Group who are doing business for their own marketing purposes. We contractually require any persons or entities that provide products or services to our clients on our behalf to protect the confidentiality of client information. We afford prospective clients and former clients the same protections as existing clients in regard to the use of personal information.

What Information We Collect

We collect certain nonpublic personal identifying information about you (such as your name, address, social security number, financial information such as assets and income, etc.) from information that you provide on applications or other forms as well as communications (electronic, telephone, written or in person) with you or your authorized representatives.

What Information We Disclose

For current and former clients, we do not disclose any nonpublic personal information obtained in the course of our practice except as required or permitted by law. Permitted disclosures include, for instance, providing information to our employees, and in limited situations, to unrelated third parties who need to know that information to assist us in providing services to you. In all such situations, we stress the confidential nature of information being shared.

We disclose information with third parties as required or permitted by law. Please be assured that whatever the reason for sharing your personal information, we take steps to assure that those parties respect your privacy by limiting the use of the information to the purpose for which it was disclosed. These third parties may include:

- *Companies that perform services to your account that we do not provide ourselves. Such services may include account valuation, preparation of account statements, determination of fees,*

- *Companies that will help protect your account from fraud.*
- *Companies that provide services necessary to effect a transaction that you request or to service your account.*
- *Government agencies, courts, parties to lawsuits, or regulators in response to subpoenas. In such cases, we share only the information that we are required or authorized to share.*

Security of Your Information

The security of your account information is important to us. Only those persons who need your information to perform their job have access to it. In addition, we maintain physical, electronic and procedural security measures that comply with federal regulations to protect your information.

Our employees have limited access to your personal information based upon their responsibilities. All employees are instructed to protect the confidentiality of your personal information as described in these policies, which are strictly enforced.

Changes to Our Privacy policy or Relationship With You

This notice may be amended as necessary. We will provide you with revised policies if there are any changes in how we handle your personal information. If you have any questions about this privacy policy please call us at 610-373-7311.

If you end your relationship with The Giles Financial Group, we will continue to adhere to the policies and practices described in this notice.